

# Analysis of Research and Innovation Dilemma

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# Research/ Innovation

## Research:

- A creative process
- Well-defined actors for training and working fields
- Linear and simple process
- Even in case of failure an useful result is obtained
- The destination field of the final product is always, in first approximation, in research scope

## Innovation:

- A transformation process
- Actors with many and different competences and skills
- Not linear process with many driven forces
- A failure is total defeat
- The final scope is the society/market

Innovation: introduction of new or significantly improved products (goods or services), processes, organizational methods, and marketing methods in internal business practices or in the open marketplace

R&D and other intangible investments such as investments in software, higher education, and worker training are key inputs driving innovation\*

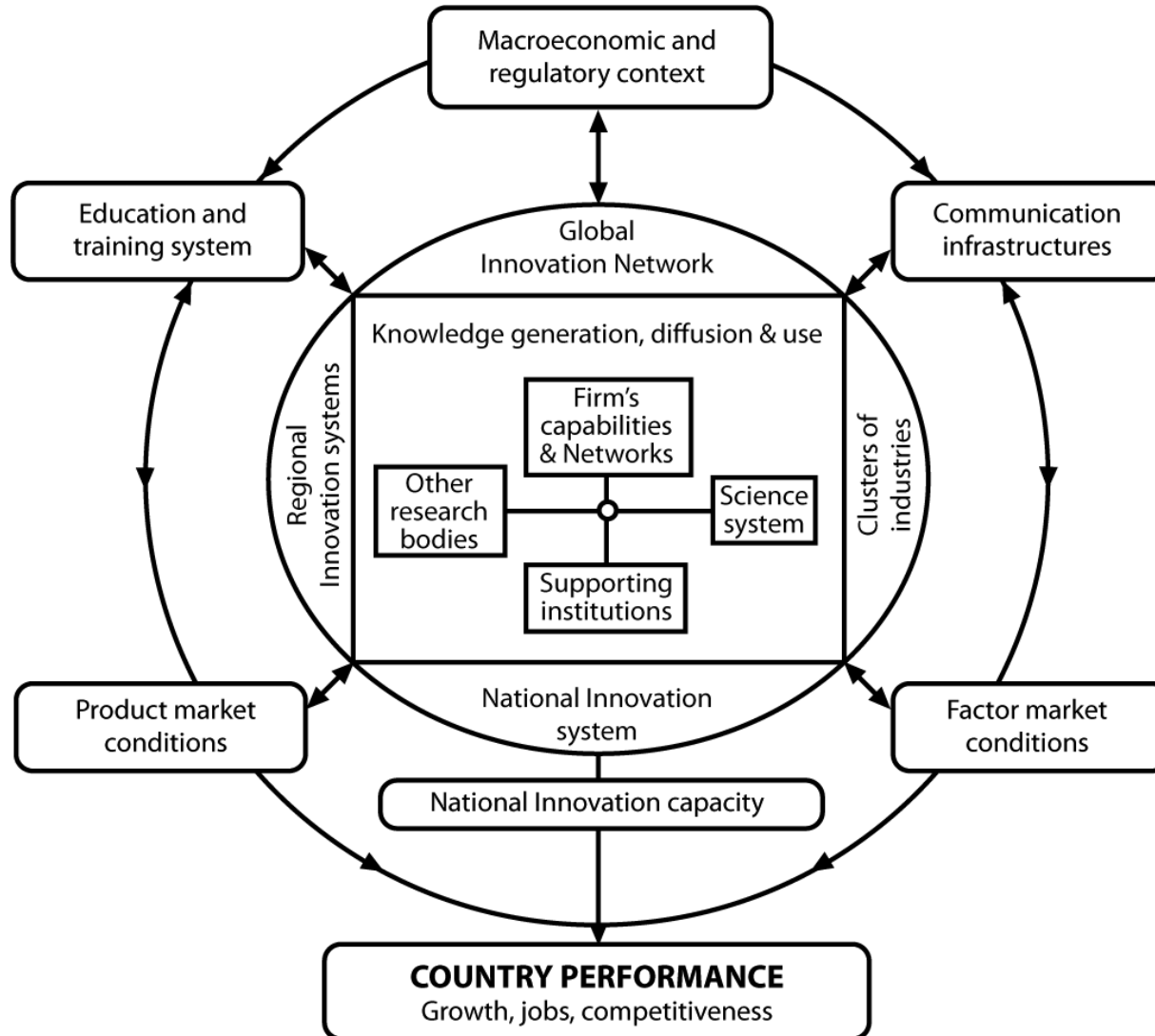
*Technological paradigm as ‘model’ and ‘pattern’ of solution of selected technological problems, based on selected principles derived from natural sciences and on selected material technologies*

*Technological trajectory: pattern of “normal” problem solving activity (i.e. of progress) on the ground of a technological paradigm\*\**

\*\*Dosi 1982

\*OECD Oslo 2005

# Innovation system



## Research



**Galileo Galilei**  
(1564-1642)

## Innovation

Joseph Schumpeter (1883-1950)



### **Kind of innovations:**

- i)* Introduction of new products
- ii)* Introduction of new methods of production
- iii)* Opening of new markets
- iv)* Development of new sources of supply for raw materials or other inputs
- v)* Creation of new market structures in an industry

# Greek Sculpture Innovation

700 A.C.



450 A.C.



- Technique
- Materials
- Subjects
- Role of artist

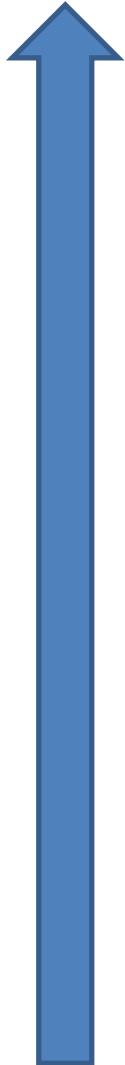


150 A.C.

Italian Renaissance

# Evolution of Innovative processes

Interactions between participants



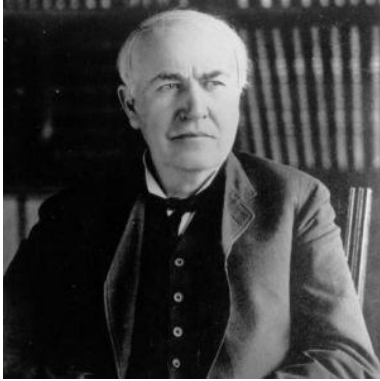
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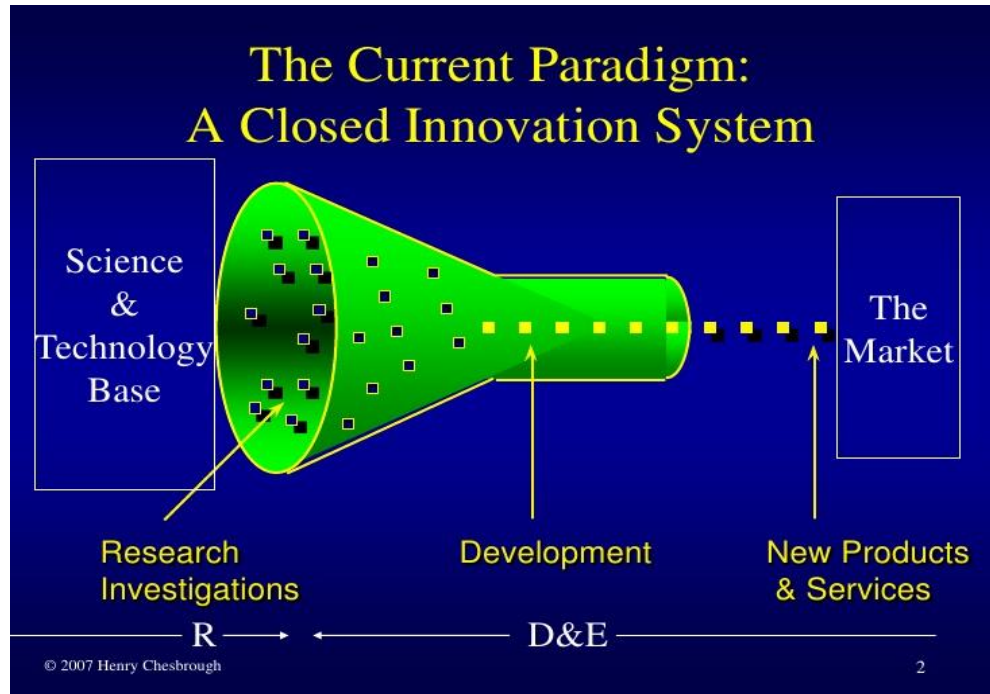
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Thomas Edison 1847-1931  
1092 patents  
GE founder  
Investor J.P Morgan



Guglielmo Marconi 1874-1937  
1897 Company in UK  
First case of "Brain escape"  
1909 Nobel Prize

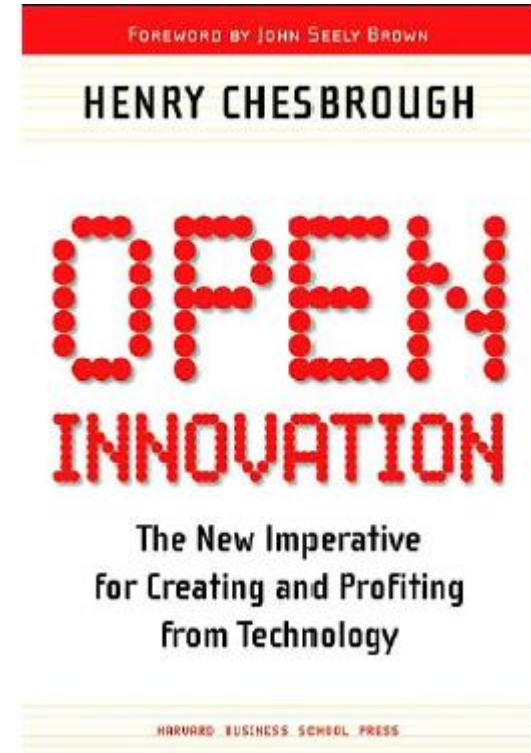
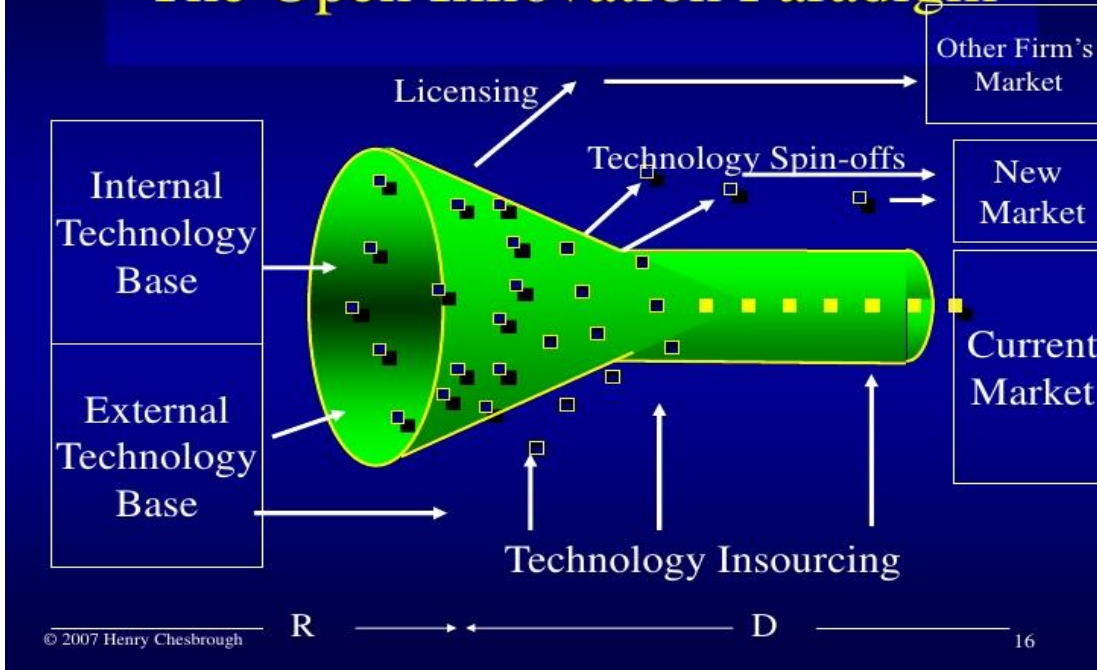


- Strong managerial control
- In house activity
- Big company
- High costs
- Impact only on reference market
- Long development time
- Limited role of University and Academia
- Followers/Imitators

e.g. Tracy Hall synthetic Diamond 1954



## The Open Innovation Paradigm



- Worker mobility
- Information propagation speed
- Small company role
- University research institution involvement
- New actors (spin off; mixed company)
- New investors
- Multiple target markets

**Forerunners companies:**  
 Procter & Gamble  
 IBM  
 SAS  
 Philips

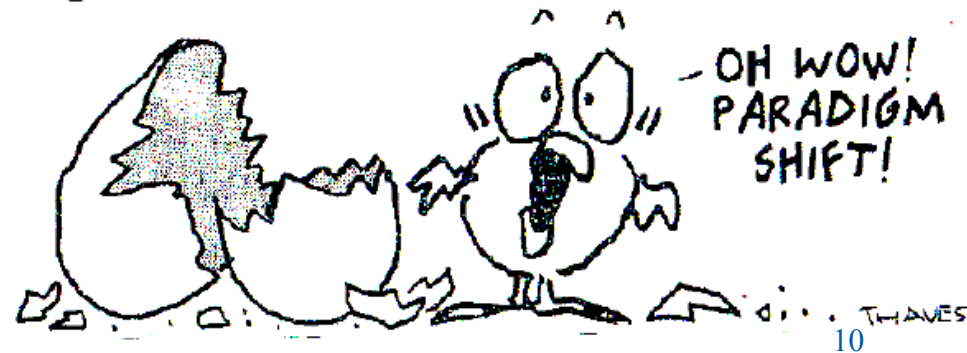
# Paradigm shift in H2020

FP 5 Research oriented [Funds 15 B€]

FP 6-7 Research and Development [Funds 17.8; 50.5 B€]

H2020 Research and Innovation [Funds 80 B€]

## From lab to market



### Excellence Science

- **European Research Council**  
Frontier research by the best individual teams (ERA)
- **Future and Emerging Technologies**  
Collaborative research to open new fields of innovation
- **Marie Skłodowska Curie Actions**  
Opportunities for training and career development
- **Research Infrastructures (Including e-infrastructure)**  
Ensuring access to world-class facilities

### Competitive Industries

Leadership in enabling and industrial technologies

- **ICT**
- **Nanotechnologies materials, biotechnologies, manufacturing**
- **Space**
- **Access to risk finance**  
Leveraging private finance and venture capital for research and innovation
- **Innovation in SMEs**  
Fostering all forms of innovation in all types of SMEs

### Societal Challenge

- **Health, demographic change and wellbeing**
- **Food security, sustainable agriculture, marine and maritime research, and the bio-economy**
- **Secure, clean and efficient energy**
- **Smart, green and integrated transport**
- **Climate action, resource efficiency and raw materials**
- **Europe in a changing world – inclusive, innovative, reflective societies**
- **Secure Societies**

European Institute of Innovation and Technologies (EIT)

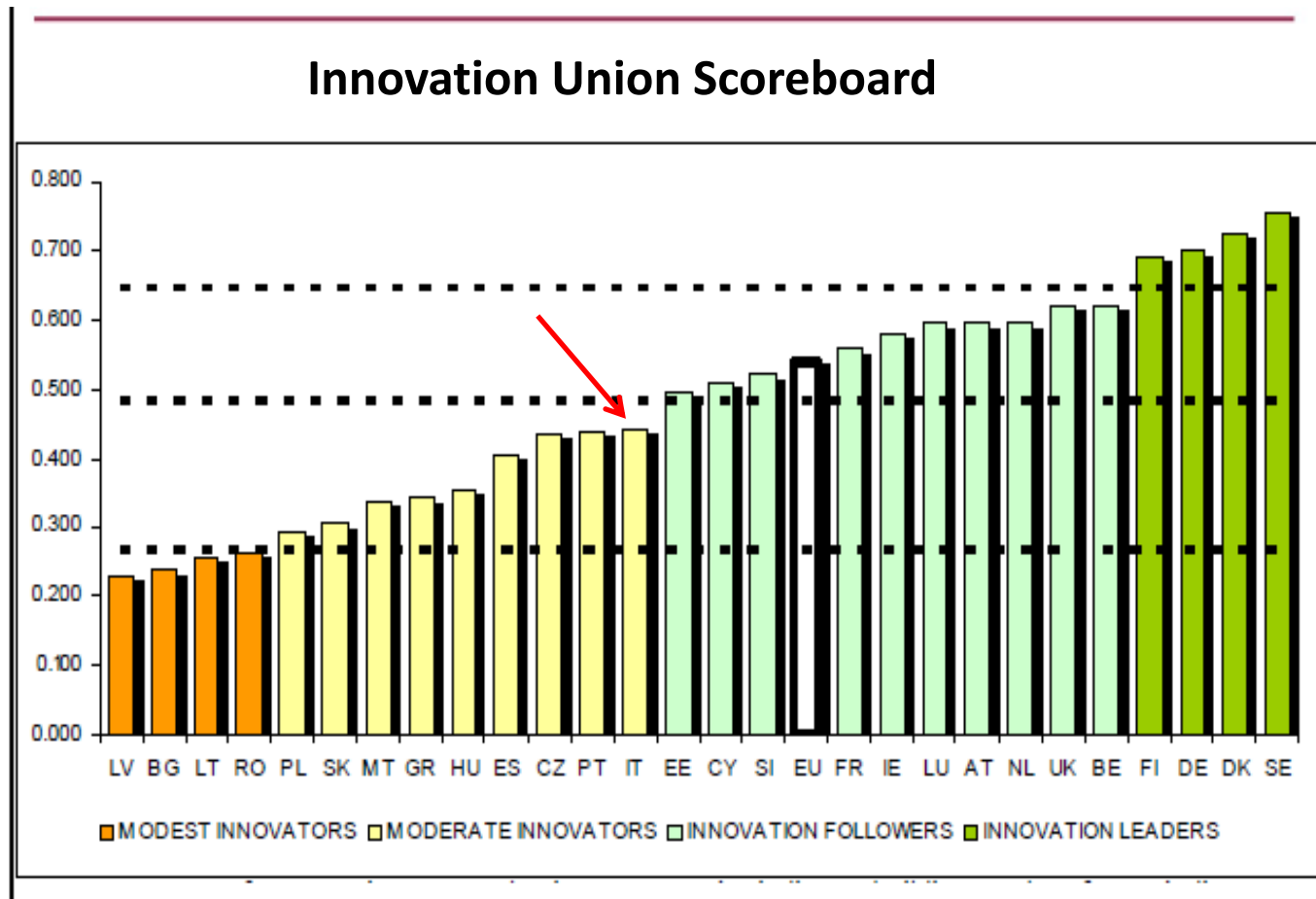
Spreading Excellence and Widening Participation

Science with and for society

Joint Research Center (JRC)

Euratom

H2020 is a program for countries innovation oriented and with a strong connection between Research actors and Industry



Italy No country for...Innovators?

# Italian Innovation parameters

- No a strong program of R&I at National level,
- Innovation index 35° in the world rank
- Public investments few and fragmented
- Any fiscal instrument to support private investment in R&I
- Small and medium enterprise are limited in their size evolution;
- Weak collaboration between Science and industries actors
- Private finance system (Capital ventures; crowdfunding) not completely developed
- High specialization of Italian company is a limit for Innovation investment
- Good Research indicators 8° in the world rank for number and quality of publication

# Italian results in EC program

## FP 6

Number of proposals second (after Germany)

Success rate 14.7% below European average value [19.2%]

Funds incoming 8.9%; **-3.62%** compared with contributions

## FP7

Number of proposals third (After Germany and UK)

Success rate 12.3% below European average value [16%]

Funds incoming 8.43% **-3.94%** compared with contributions

## H2020

Number of proposals third (After Germany and UK)

Success rate 8.56% below European average value [12%]

# Nanomaterials Nanotechnology

After more than 20 years of full activity nanotechnology is still a promising field

The Nanotech Gamble: Bold Science, Big Money, Growing Risks

The Gap between research and Society impact is increasing

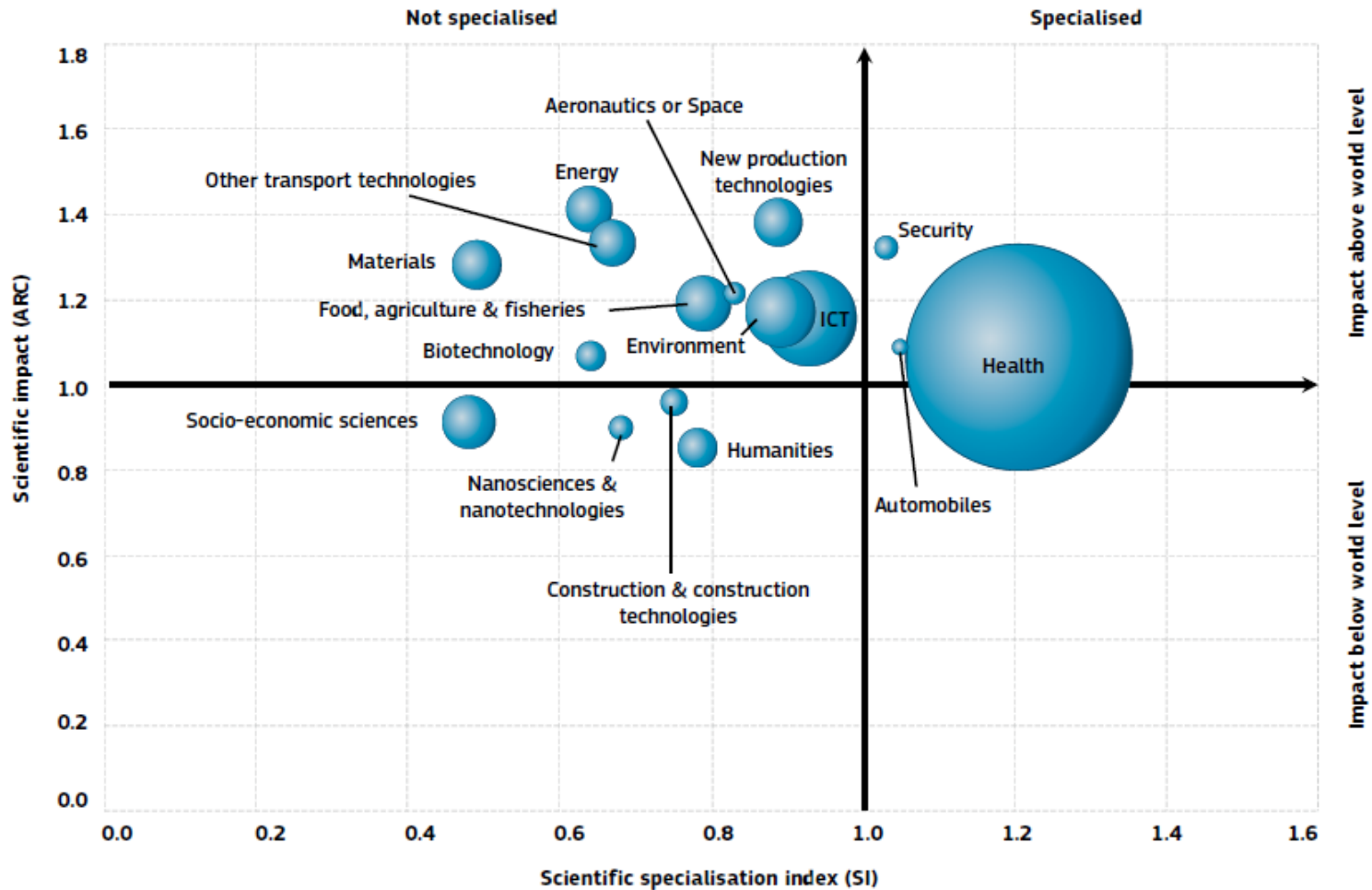
**Needs of technological paradigm results**

**Face production cost issues**

**New applications/markets**

**Focus on healthy risks**

# Italy – Positional analysis of publications





# Conclusions

- Start point: a good research system able to produce relevant results
- Competences specialization and networking
- More innovation oriented; mixed team; open innovation with skilled people
- Branch-head topics
- Attract and involve industrial commitment  
(Tell me I will forget; Show me I will remember; Involve me and I will understand ,  
B. Franklin)
- Analysis and risk management
- Improve European approach
- Improve public funds use (national local level)

# Red Queen Effect



**Well, in our country," said Alice, still panting a little, "you'd generally get to somewhere else—if you run very fast for a long time, as we've been doing**

**My dear, here we must run as fast as we can, just to stay in place. And if you wish to go anywhere you must run twice as fast as that**

*Lewis Carroll*